

# CWM Advisory, LLC

FORM ADV PART 2B

INDIVIDUAL DISCLOSURE BROCHURE FOR

## TRISTAN LEE JACOBI

*PERSONAL CRD NUMBER: 7027883*

*INVESTMENT ADVISER REPRESENTATIVE*



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This brochure supplement provides information about **Tristan Lee Jacobi** that supplements the CWM Advisory, LLC brochure. You should have received a copy of that brochure. Please contact Tristan Lee Jacobi if you did not receive CWM Advisory, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Tristan Lee Jacobi is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

UPDATED: 1/29/2020

# Item 2:

## Educational Background and Business Experience

**Name:** Tristan Lee Jacobi **Born:** 1996

### Educational Background and Professional Designations:

**Education:** BS Finance, Slippery Rock University - 2017

### Business Background:

<b>04/2019 - Present</b>	Financial Advisor CWM Advisory, LLC
<b>10/2018 - Present</b>	Financial Advisor Creative Wealth Management, LLC
<b>10/2018 - 04/2019</b>	Financial Advisor SCF Investment Advisors, Inc.
<b>06/2018 - 09/2018</b>	Unemployed
<b>01/2018 - 06/2018</b>	Agent Aflac
<b>08/2017 - 01/2018</b>	Student Slippery Rock University
<b>05/2015 - 08/2017</b>	Server Irish Eyes
<b>05/2014 - 05/2015</b>	Crew Sports at the Beach
<b>05/2012 - 05/2014</b>	Crew Mcdonald's
<b>10/2008 - 05/2012</b>	Student Sussex Tech

## Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## Item 4: Other Business Activities

Tristan Lee Jacobi is an independent licensed insurance agent, and from time to time, will offer clients advice or products from those activities. Clients should be aware that these services pay a commission or other compensation and involve a conflict of interest, as commissionable products conflict with the fiduciary duties of a registered investment adviser. CWM Advisory, LLC always acts in the best interest of the client; including the sale of commissionable products to advisory clients. Clients are in no way required to utilize the services of any representative of CWM Advisory, LLC in connection with such individual's activities outside of CWM Advisory, LLC. Insurance products will not be offered to clients unless the individual CWM Advisory, LLC representative is appropriately licensed to sell insurance products within the applicable state. He spends approximately 40 hours a week on this outside business activity.

Tristan Lee Jacobi an employee of Creative Wealth Management. In this role he helps facilitate operations. He also operates as an investment adviser representative under this entity as a DBA.

## Item 5: Additional Compensation

Tristan Lee Jacobi does not receive any economic benefit from any person, company, or organization, other than CWM Advisory, LLC in exchange for providing clients advisory services through CWM Advisory, LLC.

## Item 6: Supervision

As a representative of CWM Advisory, LLC, Tristan Lee Jacobi is supervised by Laura Elizabeth Balback, the firm's Chief Compliance Officer. Laura Elizabeth Balback is responsible for ensuring that Tristan Lee Jacobi adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Laura Elizabeth Balback is (410) 208-1091.

Tristan Lee Jacobi adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.

## Item 7: Requirements For State Registered Advisers

*This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

A. Tristan Lee Jacobi has NOT been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
  - a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, administrative proceeding involving any of the following:
  - a) an investment or an investment-related business or activity;
  - b) fraud, false statement(s), or omissions;
  - c) theft, embezzlement, or other wrongful taking of property;
  - d) bribery, forgery, counterfeiting, or extortion; or
  - e) dishonest, unfair, or unethical practices.

B. Tristan Lee Jacobi has NOT been the subject of a bankruptcy.